

**ORIGINAL**

OFFICIAL TRANSCRIPT  
PROCEEDINGS BEFORE  
**THE SUPREME COURT**  
**OF THE**  
**UNITED STATES**

CAPTION: LONNIE WEEKS, JR., Petitioner v. RONALD J.  
ANGELONE, DIRECTOR, VIRGINIA DEPARTMENT OF  
CORRECTIONS.

CASE NO: 99-5746 e-1

PLACE: Washington, D.C.

DATE: Monday, December 6, 1999

PAGES: 1-55

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THE SUPREME COURT

OF THE

UNITED STATES

CAPTION: [REVEREND] [REVEREND] [REVEREND] [REVEREND]

APPELLANT, [REVEREND] [REVEREND] [REVEREND] [REVEREND]

VERSUS

RESPONDENT

FILE NO. [REVEREND] [REVEREND] [REVEREND] [REVEREND]

DATE: [REVEREND] [REVEREND] [REVEREND] [REVEREND]

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DEC 13 1999

U.S. SUPREME COURT

1                   IN THE SUPREME COURT OF THE UNITED STATES

2   - - - - -X  
3   LONNIE WEEKS, JR.,                   :  
4                   Petitioner                   :  
5                   v.                   :   No. 99-5746  
6   RONALD J. ANGELONE, DIRECTOR,   :  
7   VIRGINIA DEPARTMENT OF           :  
8   CORRECTIONS.                    :  
9   - - - - -X

10                                   Washington, D.C.

11                                   Monday, December 6, 1999

12                   The above-entitled matter came on for oral  
13   argument before the Supreme Court of the United States at  
14   10:04 a.m.

15   APPEARANCES:

16   MARK E. OLIVE, ESQ., Tallahassee, Florida; on behalf of  
17                   the Petitioner.

18   ROBERT H. ANDERSON, III, ESQ., Assistant Attorney General,  
19                   Richmond, Virginia; on behalf of the Respondent.

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MARK E. OLIVE, ESQ.	
On behalf of the Petitioner	3
ROBERT H. ANDERSON, III, ESQ.	
On behalf of the Respondent	30

1 P R O C E E D I N G S

2 (10:04 a.m.)

3 CHIEF JUSTICE REHNQUIST: We'll hear argument  
4 now in No. 99-5746, Lonnie Weeks v. Ronald J. Angelone.

5 Mr. Olive.

6 ORAL ARGUMENT OF MARK E. OLIVE

7 ON BEHALF OF THE PETITIONER

8 MR. OLIVE: Mr. Chief Justice, and may it please  
9 the Court:

10 There is an intolerable risk in this case that  
11 the jurors erroneously and mistakenly believed that in  
12 sentencing the petitioner, they had a duty to sentence him  
13 to death upon the finding of an aggravating circumstance.  
14 This violates the Eighth Amendment and the petitioner  
15 seeks resentencing.

16 Five facts compel this conclusion.

17 First, the jurors promised to do two things:  
18 one, sentence according to the instructions; and two, come  
19 back and ask the court what the instructions meant if they  
20 didn't understand them.

21 Number two, the actual sentencing instructions  
22 were quite short. The pertinent instructions are at pages  
23 199 and 200 of the JA and are two-pages long.

24 Number three, the jurors had these short  
25 instructions read to them in court. They heard these

1 short instructions.

2 Number four, the jurors then took these short  
3 instructions with them into the jury room. They had them  
4 in the jury room. If there was any confusion or lack of  
5 memory about what the instructions said, they had them  
6 there to study.

7 And number five, they clearly did study these  
8 jury instructions.

9 QUESTION: The instruction you're talking about  
10 was upheld in Buchanan, was it not?

11 MR. OLIVE: The instruction in the context of  
12 Buchanan was upheld.

13 QUESTION: It was upheld across the board I  
14 think. It didn't say in the context of Buchanan.

15 MR. OLIVE: Well, Chief Justice Rehnquist, as I  
16 read Buchanan, there is a footnote 4 in which you write  
17 that the instruction which would be unconstitutional would  
18 be a strained -- s-t-r-a-i-n-e-d -- strained construction  
19 of the statute. And then after that footnote, the Court  
20 goes on to say, were we concerned -- and that's where the  
21 Boyde citation is -- and the Court says, quote, in this  
22 context, in the context of all the things that had  
23 happened at trial -- in this context under Boyde is  
24 satisfied.

25 QUESTION: But the -- the qualification is were

1 we to entertain any doubt, which is a subjunctive. It  
2 didn't say we did entertain doubt. It's an alternative  
3 ground.

4 MR. OLIVE: Well, my reading of the case is --  
5 is the same as your reading of the case, that there was an  
6 application of Boyde. But also the fourth footnote says  
7 to me that if a juror had this understanding, the strained  
8 understanding, of the instruction, the Court would  
9 unanimously condemn it. And our argument is that these  
10 jurors had -- or there's a risk -- had this strained  
11 misunderstanding of the -- of the instruction.

12 QUESTION: Well, how broad a rule are -- are you  
13 asking for here? Is it limited to capital cases?

14 MR. OLIVE: The rule in this case we feel is  
15 compelled -- we're not seeking a rule. We think it's  
16 compelled by Eddings, and yes, the rule that we're asking  
17 for is a capital case rule.

18 QUESTION: And is it that whenever the jury asks  
19 -- sends a note to the judge asking a question that the  
20 judge can't refer them to an instruction; he has to  
21 respond directly to the question?

22 MR. OLIVE: Not at all. The rule --

23 QUESTION: Then how -- how do you differ that?

24 MR. OLIVE: Well, here you have a question which  
25 illustrates that the jurors are poised to violate Lockett

1 and Eddings. We have parsed this instruction. We have  
2 thought about it, and we have thought about it enough to  
3 -- to write out a question and to highlight what we think  
4 our options are. That's far different from, you know,  
5 what's -- what are we doing here?

6 QUESTION: Well, I think that may be reading  
7 more into the question than is justified. I think it may  
8 be a reasonably common practice for trial judges, when  
9 faced with a question from a jury about an instruction, to  
10 refer the jurors back to a particular instruction if the  
11 trial judge thinks that it's -- that it properly answers  
12 the question. And maybe they just haven't focused on that  
13 aspect of it. Is that not a practice that occurs not  
14 infrequently in trial courts?

15 MR. OLIVE: It -- it occurs not infrequently,  
16 primarily in non-capital cases, and it may, in fact, occur  
17 in some capital cases. The amicus brief, which says the  
18 cases that are illustrative there are no -- none of them  
19 are capital cases.

20 QUESTION: Do we -- do we also look at the  
21 surrounding circumstances, the arguments of both counsel  
22 and any other instructions that are included in the  
23 packet?

24 MR. OLIVE: I think that once the jury or the  
25 sentencer comes out and illustrates what they're thinking,



1 then the surrounding circumstances, which are so important  
2 in a Boyde context when you're trying to figure out what  
3 they might have been thinking, carries less weight. I  
4 think the overall content --

5 QUESTION: But it may carry some weight. I'm  
6 concerned that in this case both the attorney for the  
7 defendant and the prosecutor made clear during their  
8 closing arguments that the jury was free to impose a life  
9 sentence if they wished, despite finding an aggravating  
10 circumstance.

11 MR. OLIVE: And -- and the sentencing judge in  
12 Eddings had a statute -- and we presume he understood it  
13 -- that said any circumstances can be admitted and any  
14 circumstances could be considered. But the risk in  
15 Eddings was that judge's comment -- offhanded some would  
16 argue, or controlling others would argue -- that he  
17 believed he couldn't or might not be able to consider  
18 certain mitigating circumstances. And that was in the  
19 context of not argument, but a record full of mitigating  
20 circumstances on a statute that he was presumed to  
21 understand. And Your Honor wrote in concurrence that a  
22 reasonable argument could be made that that judge was just  
23 making an offhanded comment.

24 QUESTION: Mr. Olive, you --

25 MR. OLIVE: A reasonable argument could be made

1 here -- yes, Justice Scalia.

2 QUESTION: You argue as though the -- the judge  
3 did not give the jury any help at all when they asked this  
4 question, but that's not the case. He just didn't -- he  
5 just didn't snap back, well, you know, the question is  
6 already answered in the instructions. He specifically  
7 referred them to the -- to the paragraph of the  
8 instructions that answered the question. I think that's  
9 -- that's a considerable help.

10 And then you add to that the fact that -- that  
11 the jury, which had already asked two questions and  
12 therefore was not shy about asking when it didn't  
13 understand the instructions, did not come back and -- and  
14 say, we still don't understand. I don't know why you  
15 think there's a serious risk that they -- that they still  
16 didn't misconstrue it.

17 In fact, you know, you might argue there's a --  
18 a greater risk of misconstruction when you're -- when  
19 you're dealing with a jury that has displayed it's -- it's  
20 reluctant to ask questions. Here's a jury that asked the  
21 question. The judge said, this is the paragraph that  
22 answers your question, and -- and you heard nothing more  
23 from them.

24 MR. OLIVE: It's the only paragraph in the --  
25 the instructions that would have created the question.

1 There's no other operative paragraph in the instructions.  
2 And I would bet -- I want to focus not on -- on lawyers or  
3 judges or justices, but on jurors. And this quote's  
4 recognition many times -- once in Simmons at 512 U.S. 171  
5 that we presume jurors are going to follow the  
6 instructions even if pointed back to them. And now I'll  
7 quote. Because the consequences of failure are so vital  
8 to the defendant, the practical and human limitations of  
9 the jury system cannot be ignored. And the practical and  
10 human limitations of the jury system here was I bet the  
11 jurors had memorized that instruction when it finally got  
12 back to --

13 QUESTION: But even -- even with --

14 MR. OLIVE: I'm sorry.

15 QUESTION: Even with laymen who are seeking  
16 advice of counsel, it's a common occurrence for them to  
17 phone counsel with a question and say, it's in the  
18 contract. Just read paragraph 2. It answers it. It's  
19 not just judges and -- and attorneys. We're used to the  
20 fact -- we say, look it, it's in the -- if you read the  
21 statute carefully, we've considered this and it's there.

22 MR. OLIVE: Well, a contract is a great example.  
23 It's like a RICO instruction. It's -- it's plausible,  
24 even probable that a juror or a client would say, I don't  
25 get it. That's because it's in paragraph 44(a)(2)(B).

1 Here, there was one instruction --

2 QUESTION: Suppose the --

3 QUESTION: And what was that instruction?

4 QUESTION: Suppose the judge had -- if I can  
5 just -- one follow-up. Suppose the judge had said, I'm  
6 going to tell you to -- to read paragraph of the  
7 instructions that answers your question. If you have any  
8 further questions, please do not hesitate to come back.

9 MR. OLIVE: That is --

10 QUESTION: Suppose he had said that.

11 MR. OLIVE: The -- the fact that the jurors came  
12 back two or three times, which Justice Scalia referred to,  
13 to me cuts in the petitioner's favor.

14 QUESTION: Suppose the judge gave the comment at  
15 the end of his -- of -- of his answer that I've -- that  
16 I've hypothesized.

17 MR. OLIVE: Well, say he did it in this case and  
18 in the context of this case. They came back three times,  
19 and every time they came back, they didn't get an answer.  
20 They got an answer which was no more helpful than what had  
21 already been given. The answer was follow my  
22 instructions. The answer that you just gave was follow  
23 this particular instruction, and the juror -- a reasonable  
24 juror, a practical juror would say, you know, I've got  
25 that memorized. That's why I'm here. I came out --

1 QUESTION: No, but they didn't --

2 MR. OLIVE: I came out of the jury room.

3 QUESTION: But they didn't say that.

4 My -- I guess I want to be clear on one thing.

5 Do you think there is anything either erroneous or at  
6 least in an objective sense incomprehensible about the  
7 instruction to which he referred them?

8 MR. OLIVE: Incomprehensible? No. Ambiguous?  
9 Yes.

10 QUESTION: What was ambiguous about it?

11 QUESTION: Ambiguous? What is -- I --

12 QUESTION: What page are we on? Let's hear  
13 the --

14 QUESTION: I frankly find it hard to see how you  
15 could have said it more clearly if he had tried to  
16 reformulate it in some other way.

17 MR. OLIVE: Well, the ambiguity would be the  
18 ambiguity recognized by the dissenters in Buchanan, is  
19 that if you find an aggravating circumstance, what you  
20 must do is impose the death penalty or -- and then the  
21 rest of the phrase -- to where if you -- if you haven't  
22 found an aggravating circumstance, then you shall not --

23 QUESTION: That's not what it says.

24 MR. OLIVE: -- which -- which didn't --

25 QUESTION: That's not what it says. It says, or

1 if you believe from all the evidence that the death  
2 penalty is not justified --

3 MR. OLIVE: With the --

4 QUESTION: -- then you shall fix the punishment  
5 of the defendant at life imprisonment.

6 MR. OLIVE: With the ambiguity being  
7 parenthetically, i.e., that there is no aggravating  
8 circumstance found beyond a reasonable doubt.

9 QUESTION: Mr. Olive, the pattern instructions  
10 have been changed since the one the Court inspected in  
11 Angelone, and they are clearer now on the point that --  
12 that you're raising. How do the -- how did that change  
13 come about? What precipitated the change so that now the  
14 jury would get a clearer answer had they come in with that  
15 question?

16 MR. OLIVE: I can only speculate. I do not know  
17 the historical background of that change. So, it would be  
18 speculation. But my speculation, which would be informed,  
19 would be this Court's opinion that there was a problem  
20 where there was a -- a discussion of a problem and a split  
21 in the Court about whether these were clear or not clear.

22 QUESTION: I thought that the -- sorry. Were  
23 you finished?

24 MR. OLIVE: Yes.

25 QUESTION: I thought the ambiguity was with the

1 word justified.

2 MR. OLIVE: Correct.

3 QUESTION: I mean, I thought it read if you find  
4 the commonwealth has proved aggravators beyond a  
5 reasonable doubt, then you may fix the punishment at  
6 death, or if you believe, from all the evidence, the death  
7 penalty is not justified, then you shall fix the  
8 punishment at life. I suppose somebody hearing that might  
9 think if I find the alternatives, it's death. If I don't  
10 find the alternatives, it's life. Wasn't that the  
11 ambiguity that's there?

12 MR. OLIVE: Which is a --

13 QUESTION: Of course, a lawyer may know that the  
14 word justified refers to mitigators, which word never  
15 appears.

16 MR. OLIVE: That -- after the word justified  
17 come in the parenthetical. What do you mean by not  
18 justified? That there's no aggravating circumstance  
19 found.

20 QUESTION: That seems to me really a re-argument  
21 of Buchanan, and I thought this Court in Buchanan had said  
22 that instruction was -- was proper.

23 MR. OLIVE: What the --

24 QUESTION: And I -- I really think I'm hearing  
25 you suggest that we should adopt the view of the

1 dissenters in Buchanan, and --

2 MR. OLIVE: No, I am not --

3 QUESTION: -- that would be difficult for us to  
4 do I --

5 MR. OLIVE: I'm not asking that. I'm -- I look  
6 at Buchanan actually as authority for the proposition in  
7 this case because of the footnote which says, well, yes, a  
8 jury could read this way. They'd just be wrong. And if  
9 this Court had had a jury reading this instruction this  
10 way in Buchanan, I doubt that we would have Buchanan  
11 written the way it is. Buchanan didn't announce a rule  
12 that forever and ever jurists and sentencers won't make a  
13 mistake or won't do a strained --

14 QUESTION: No, but it did announce -- it did  
15 announce that this instruction was sufficiently clear to  
16 be under the Constitution.

17 MR. OLIVE: That -- I think that that's -- and  
18 what we're arguing is that an application in particular  
19 cases, it would nevertheless not be constitutional. The  
20 court and jury --

21 QUESTION: Well, you're -- you're saying then  
22 that even though an instruction is perfectly sound, the  
23 Constitution requires that if a jury -- juror asks a  
24 question, the trial judge has to do something more than  
25 simply refer them to the instruction. That's an



1 extraordinary doctrine.

2 MR. OLIVE: Under some circumstances, it is not  
3 extraordinary. In fact, when jurors don't even ask a  
4 question. Penry, for example, perfectly constitutional  
5 sentencing instructions, but the circumstances of that  
6 case compelled an additional instruction.

7 QUESTION: Well, do -- do --

8 MR. OLIVE: And Skipper is another example.

9 QUESTION: Do you have any authority for the  
10 proposition that the Constitution will require a judge to  
11 answer a juror's question by something other than a  
12 referral back to an instruction? Is there any case where  
13 we have held that?

14 MR. OLIVE: I think that the -- the cases  
15 holding that either implicitly or expressly are Penry  
16 and --

17 QUESTION: Was that -- was that --

18 MR. OLIVE: -- and Simmons.

19 QUESTION: Was that a jury question?

20 MR. OLIVE: No. It was even less than that.

21 QUESTION: Well, I -- I'm asking you do you have  
22 a case from this Court in which the Court held it was  
23 constitutionally required when a -- a good instruction was  
24 given, but a juror asked a question, that the judge could  
25 not simply refer them to the instruction.

1 MR. OLIVE: I do not.

2 However, with respect to both Penry and Simmons,  
3 the issue was what would a juror think, and if it was  
4 possible and reasonably likely that a juror would think  
5 something, then this Court found that constitutionally  
6 adequate previously juror instructions were not sufficient  
7 in that case.

8 QUESTION: But how does it --

9 MR. OLIVE: And additional -- additional  
10 instructions had to be given.

11 QUESTION: Four members of the Court thought it  
12 was -- you were right on it being ambiguous on itself.  
13 Five --

14 MR. OLIVE: Buchanan?

15 QUESTION: Yes.

16 MR. OLIVE: In Buchanan.

17 QUESTION: Five didn't. So, it's okay. The  
18 instruction is okay. That's the end of it.

19 Now, you can't have a rule of law that says  
20 whenever a juror doesn't find an okay -- you know,  
21 whenever a juror is confused, the judge can never just  
22 refer them back to an okay instruction.

23 MR. OLIVE: No.

24 QUESTION: That couldn't be the rule of law.

25 MR. OLIVE: But you can have a --

1 QUESTION: Therefore, this case, if you're going  
2 to win it, must have a clear factor about it that makes  
3 this special and what is it?

4 MR. OLIVE: The clear factor about it that makes  
5 this special is that these sentencers were like the  
6 sentencer in Eddings. There is an intolerable risk that  
7 these sentencers believe they were precluded. Now, in  
8 Eddings, we had a judge who we presume knew the statute  
9 and had an offhanded remark --

10 QUESTION: If -- if the statute is, as you say,  
11 ambiguous, why would you think that some other jury that  
12 didn't ask a question was simply wrong in picking the  
13 wrong -- the wrong choice of the ambiguity? I -- I don't  
14 know why the asking of the question, if it's really  
15 ambiguous, there's -- there's an enormous risk that a jury  
16 that doesn't ask a question would have interpreted it the  
17 wrong way.

18 MR. OLIVE: But the Court in Buchanan stated  
19 that an interpretation like this would be a strained  
20 interpretation. When you have before you a sentencer who  
21 has a strained interpretation, as in Eddings, it is the  
22 responsibility of the State court or the Federal court --

23  
24 QUESTION: Is there --

25 MR. OLIVE: -- to correct that strained

1 interpretation.

2 QUESTION: Is there some principle that a person  
3 who is taking a strained interpretation will normally ask  
4 a question?

5 MR. OLIVE: No, there isn't.

6 QUESTION: It -- it seems to me that's essential  
7 to your argument.

8 MR. OLIVE: No, there isn't, but when a court -

9 -

10 QUESTION: Well, if that's -- if that's the  
11 case, then the fact that they -- that they asked a  
12 question makes no difference. And if -- and we should  
13 simply say in all cases there's a risk that a jury is --  
14 is going to come back with the wrong -- with the wrong  
15 answer to this. And -- and we said, you know, that that's  
16 not the case.

17 MR. OLIVE: It alerts the court that the jury or  
18 sentencer is poised to violate the Eighth Amendment.

19 QUESTION: It doesn't -- it doesn't --

20 MR. OLIVE: If they come back.

21 QUESTION: -- alert the court unless you --  
22 unless you somehow sustain the principle that a person who  
23 is likely to take a strained interpretation is also likely  
24 to ask a question. And I don't know why that follows.

25 MR. OLIVE: You know, it's -- it is only in the

1 cases where the jury comes back and asks the question that  
2 I think that you can feel comfortable, especially under  
3 the circumstances of this case where they highlight and  
4 underline and tell you what they've been thinking, that  
5 they have interpreted the sentencing instruction in a way  
6 that could violate Lockett.

7 We have lots of judges -- now, I'm sure this  
8 won't be a popular notion -- who may not act according to  
9 a statute or many not act according to sentencing  
10 instructions because they make a mistake. That may happen  
11 all the time. But when the judge indicates that a mistake  
12 -- especially a capital sentencing judge indicates that a  
13 mistake may have been made, this Court does not tolerate  
14 the risk. And that's the Eddings principle.

15 QUESTION: Mr. Olive, what -- what do you make  
16 of -- of this portion of the -- the facts here?

17 We start with the assumption that we have a jury  
18 that is not too bashful to ask a question.

19 Number two, the judge refers them to an  
20 instruction which -- which we must take as a proper  
21 instruction. And in fact, I -- I do take it.

22 Number three, having been referred back to that  
23 instruction which the jury has in front of it, the jury  
24 then spends approximately 2 hours before it returns a  
25 verdict. It doesn't come back with a snap verdict 5

1 minutes later saying death penalty, nor in that 2-hour  
2 period of time does it come back with a further question.

3 If we are going to engage in psychologizing here  
4 to try to find -- try to assess the risk, isn't the most  
5 probable inference the following one? That in fact this  
6 jury, which knew how to ask questions, didn't have a  
7 further question to ask, and number two, spent their 2  
8 hours in considering the very discretion which, according  
9 to the instruction, they had?

10 And if we draw those inferences, I don't see  
11 where there is an intolerable risk or even a substantial  
12 risk that the jury misunderstood these instructions.

13 MR. OLIVE: There's something in this record  
14 that I've never seen before. The jurors come back with  
15 their verdict. And the juror then -- the jurors then are  
16 polled, one by one. And the first juror's name is called  
17 and the -- the question is, is this your verdict, the  
18 death penalty? And the court reporter sua sponte, without  
19 any request from anyone, puts in a parenthetical,  
20 whereupon a majority of the jurors were in tears.

21 Now, they were gone for 2 hours. Are they in  
22 tears because they think they have a duty they don't want  
23 to carry out?

24 QUESTION: That is -- I -- I don't see how that  
25 can possibly get us beyond pure speculation. Maybe what

1 you suggest is true, but it seems to me far more likely  
2 they are in tears because they have -- they have had as  
3 jurors to perform the -- the most terrible act that a  
4 juror can ever have to do, and that is to recommend a  
5 death sentence for someone. And -- and for me to say or  
6 for this Court to say, well, the -- the emotional reaction  
7 is, in effect, a -- a basis for inferring incapacity to  
8 understand instructions, rather than to say their  
9 emotional response was a response to the terrible burden  
10 that they have just discharged, would be pure speculation.

11 MR. OLIVE: And the other position would be pure  
12 speculation. And our obligation is to remove speculation.

13 Let me go to the second part --

14 QUESTION: No, but your -- the -- the burden of  
15 your argument is to -- is to indicate to us that there is  
16 the risk that you claim.

17 MR. OLIVE: Correct.

18 QUESTION: And I don't see anything more than a  
19 speculative basis for your argument.

20 MR. OLIVE: Well, referring the --

21 QUESTION: May -- may I, however, go back to my  
22 question, which has sort of dropped out of our dialogue  
23 here? If -- if we -- perhaps we should agree to disagree  
24 on the significance of the jury's emotional reaction. And  
25 let's go back to my question.

1 Non-bashful jury, question, referral to an  
2 instruction which is sound, 2 hours of further  
3 deliberation before the jury comes back, no further  
4 question. Isn't the most reasonable inference, if we're  
5 going to draw one at all, that this jury that knew how to  
6 ask questions didn't have a further question and spent the  
7 2 hours, in effect, deliberating over the discretion that  
8 they understood themselves to have?

9 MR. OLIVE: No. I think the jury came back  
10 three times. They were promised during voir dire if you  
11 come back, you'll get further instruction that will help  
12 you, and three times they came back. The further  
13 instruction was not additional instruction, not a  
14 clarifying instruction; it was follow the instructions.  
15 So, your argument --

16 QUESTION: Well, no, but it wasn't just follow  
17 the instructions. The -- the response was go to a certain  
18 paragraph of instruction number 2 I think it was --  
19 whatever -- which was the instruction that was right on  
20 point.

21 QUESTION: That's the instruction that gave rise  
22 to the question.

23 MR. OLIVE: The instruction -- the instruction  
24 gave rise to the question.

25 QUESTION: If there ever was a circular



1 argument, that's it.

2 MR. OLIVE: And the -- the question supposes  
3 that repetition equals clarity for these jurors --

4 QUESTION: Well, sometimes --

5 MR. OLIVE: -- and that's -- that's an inference  
6 that we can't draw as well because I think that --

7 QUESTION: Sometimes in reading briefs, I find  
8 that reading a paragraph a second time helps me, and I  
9 understand it the second time when I didn't the first  
10 time. And the premise of the judge's response is that  
11 something like that may happen with jurors in jury  
12 instructions, and it seems to me a pretty sound assumption  
13 to make.

14 MR. OLIVE: That's why I've tried to set the  
15 table with these jurors did that. They read the -- the  
16 paragraph a second time, and I think it's reasonable to  
17 conclude, they read it over and over. These jurors came  
18 back with a very detailed question, illustrating they had  
19 read the paragraph or the instruction again and again.  
20 They had a simple yes or no question they had crafted,  
21 illustrating to the court what they thought the problems  
22 were with the case and what their confusion was. They had  
23 highlighted it. I can't for a moment think these jurors  
24 hadn't read and reread, been confused, read it again, and  
25 formulated the question.

1 Under those circumstances, I don't think it does  
2 any good whatsoever to send the jurors back. My response  
3 as a juror would be I've practically memorized this  
4 instruction.

5 QUESTION: Is your point -- is your point -- I  
6 don't want to put words in your mouth, though I suppose I  
7 will be, but I mean, if their confusion is they do not  
8 know if the two words, not justified, refer to absence of  
9 aggravators or presence of mitigators, if that's their  
10 confusion, I guess reading those two words, not justified,  
11 10 million times will not clear up the confusion.

12 MR. OLIVE: Well put.

13 (Laughter.)

14 QUESTION: Mr. -- Mr. Olive, is this a case  
15 controlled by AEDPA, the -- the new statute dealing with  
16 post-conviction relief?

17 MR. OLIVE: There have been arguments made that  
18 2254(d) applies. The arguments back and forth. I'll go  
19 into them if -- if Your Honor would like me to, but I  
20 guess the simple answer is --

21 QUESTION: What is your position?

22 MR. OLIVE: That --

23 QUESTION: Is it or not?

24 MR. OLIVE: That the standard of review under  
25 2254(d) ought not to apply in this case.

1 QUESTION: Why?

2 MR. OLIVE: And the reason that it ought not to  
3 apply in this case is because 2254 and -- and all of A-E-  
4 D-P-A, or AEDPA, has as it's policy concern or -- or  
5 recognition that State courts that grapple with Federal  
6 constitutional issues ought to be rewarded or certainly  
7 not punished for their good faith efforts to enforce the  
8 Federal Constitution by looking at the legal landscape and  
9 applying the law. And when you have a decision from a  
10 State court which doesn't reflect that struggle, which is  
11 simply a summary denial, then you don't have an  
12 adjudication or an opinion to which deference ought --  
13 ought to apply.

14 Now, that issue has not been thoroughly briefed  
15 or addressed by the parties, but that would be my argument  
16 with respect to 2254(d).

17 QUESTION: I'm surprised you call it a summary  
18 denial because the Supreme Court of Virginia wrote an  
19 opinion dealing with all sorts of issues at some length,  
20 and they said this issue simply was -- was barely averted  
21 to and no supporting authority. So, they said we will --  
22 you know, we'll rule against you on it.

23 MR. OLIVE: It said just denied and that's what  
24 I mean by summary --

25 QUESTION: Are you talking about the --

1 MR. OLIVE: On these -- on these claims, the  
2 State court simply said we find no merit and denied and  
3 didn't state the legal basis for it and didn't give us  
4 what the legal landscape was.

5 QUESTION: Well, but it said that the -- that  
6 the claims were simply stated and not argued, didn't it?

7 MR. OLIVE: It said that these so-called  
8 arguments we reject, and in the brief the so-called  
9 arguments were our reference to Penry and to Woodson and  
10 to Brown. So, yes, it did say that, but the court in its  
11 opinion didn't indicate on what basis it was rejecting the  
12 claims.

13 QUESTION: Well, if section 2254(d)(1) is  
14 applicable --

15 MR. OLIVE: Yes.

16 QUESTION: -- then we would have to say and  
17 determine here that the Virginia Supreme Court, in denying  
18 the claim, rendered a decision that was contrary to --

19 MR. OLIVE: Correct.

20 QUESTION: -- or involved an unreasonable  
21 application of clearly established Federal law as  
22 determined by this Court.

23 MR. OLIVE: Correct.

24 QUESTION: And I'm troubled by that because I  
25 don't know of any case where we have articulated anything

1 about a duty to instruct in different terms rather than  
2 call a jury's attention to an instruction the court  
3 believes covers it.

4 MR. OLIVE: Our --

5 QUESTION: So, I -- I don't see how we're -- if  
6 -- if AEDPA applies, I don't see how you can meet the  
7 standard.

8 MR. OLIVE: Our argument is that Penry  
9 recognized that the Eddings rule applied to juries as of  
10 1986. Our position is that the Eddings rule is that if  
11 there a risk that the sentencer considers themselves  
12 precluded, then the State has to correct that  
13 misimpression. So, Penry would be our argument that  
14 Eddings was the law, that the Virginia Supreme Court  
15 opinion is contrary to or that they applied in an  
16 unreasonable manner.

17 QUESTION: Mr. Olive, you may have adverted to  
18 this earlier and I may not have been paying attention when  
19 you did. But let me ask you this. If the judge in this  
20 case had followed his reference back to the instruction by  
21 saying the following thing, would you still have an  
22 argument here? What if the judge had said, if after you  
23 have reread the paragraph I've referred you to, you still  
24 have a question about the way it should be applied, come  
25 back and we'll go further? If the judge had said that,

1 would you have any case here?

2 MR. OLIVE: I believe I would. Again, I got two  
3 -- I have two answers to that. One, they might not  
4 believe that, having been promised that throughout voir  
5 dire and three times it not happening.

6 But number two, the McDowell case, which we put  
7 in the -- in the petition and is also in -- in the blue  
8 brief -- that's a case in which the jury came back, asked  
9 a question, the judge answered it, and the judge said to  
10 the jurors, now does that answer your question? And all  
11 the jurors -- or at least one of the jurors on behalf of  
12 the jurors said, yes, that answers our question. And in  
13 McDowell, the Court said by referring them back to the  
14 same instruction, it would be folly to presume that that  
15 instruction really helped them out of their dilemma. So,  
16 I think we would still have the same problem.

17 QUESTION: That's a Ninth Circuit case?

18 MR. OLIVE: Correct. It's Judge -- Judge Trott.

19 QUESTION: Mr. Olive, I thought in response to  
20 the 2254(d) that you were relying on Boyde to say that if  
21 the jury misunderstood to the extent that it wasn't going  
22 to take mitigating factors into account --

23 MR. OLIVE: Right.

24 QUESTION: -- then that would be reversible --  
25 cause for reversal.

1 MR. OLIVE: Well, Penry I think involves a Boyde  
2 analysis as well, if I'm not mistaken. But our position  
3 is that once the juror -- once we know what the jurors are  
4 thinking, once they have given us an Eddings statement,  
5 Boyde may no longer be the test. The test may instead be  
6 a test that has a different risk assessment, which is an  
7 Eddings test, whether there's a -- a risk as opposed to a  
8 reasonable likelihood. And if there's a difference  
9 between those tests that's more petitioner-friendly, I  
10 would assume the Eddings test would be the test that  
11 applied.

12 QUESTION: I guess if we adopted your position,  
13 States would have to have two form instructions because if  
14 you say just repeating the form instruction is not enough,  
15 you'd either leave it to the judge to do a seat-of-the-  
16 pants reformulation of the -- of the standard State  
17 instruction or you -- you would have to have a second -- a  
18 second alternative prescribed as a form instruction.  
19 Indeed, maybe a third because if they don't understand the  
20 second and they come back and ask the question again,  
21 you're going to need a third one. Or else you let each  
22 judge seat-of-the-pants it every time they -- every time  
23 they say, I don't really understand it.

24 MR. OLIVE: In -- in Eddings, this Court didn't  
25 remand the case back to the trial court and say read the

1 statute, just read the statute. This Court said, you've  
2 got to consider mitigating circumstances.

3 QUESTION: Thank you, Mr. Olive.

4 Mr. Anderson, we'll hear from you.

5 ORAL ARGUMENT OF ROBERT H. ANDERSON, III

6 ON BEHALF OF THE RESPONDENT

7 MR. ANDERSON: Mr. Chief Justice, and may it  
8 please the Court:

9 First, let me deal with the Buchanan holding and  
10 counsel's suggestion today that the holding in Buchanan  
11 upholding the validity of the model jury instruction that  
12 was given verbatim in this case somehow was something less  
13 than an unqualified holding. Counsel today, for example,  
14 talks about that the instruction was ambiguous but not  
15 wrong, and he refers to this ambiguity being recognized by  
16 the dissenters in Buchanan.

17 But in the Fourth Circuit, after Buchanan had  
18 been decided, Weeks repeatedly indicated in his brief and  
19 his other post-opinion pleadings that Buchanan had, in  
20 fact, upheld and made clear the facial validity of the  
21 model jury instruction. He didn't say anything along the  
22 lines of, well, in certain contexts the instruction would  
23 be okay, but not in others. It was just a flat-out  
24 acknowledgement of the obvious, that the holding in  
25 Buchanan was, in fact, a holding on the merits and made



1 clear that the jury instruction adequately explicated to  
2 the jury its sentencing options.

3 In his cert petition -- and this Court in  
4 Buchanan talked about the model instruction establishing a  
5 decision. I think the words were a simple decisional  
6 tree. And Weeks in his cert petition echoed -- he  
7 parroted that very language. He said much the same, that  
8 the model instruction given in -- in the case and that the  
9 court referred the jury back to, that it established this  
10 decisional tree that a juror ought to understand. The  
11 cert petition was premised upon a facially valid jury  
12 charge, and the question was, well, if you have a facially  
13 valid jury charge, but the jury, nevertheless, asked a  
14 question about that, where does that leave you? What sort  
15 of duty does the judge have with respect to dealing with  
16 that?

17 But the point is the cert petition specifically  
18 presupposed the facial validity of the jury charge for  
19 purposes of this case. And this Court has made clear in  
20 any number of cases that where you have a premise in a  
21 cert petition, such as the one I've just said, that you  
22 can't later try to wiggle away from that and say, well,  
23 that's not really the premise --

24 QUESTION: No. It assumes the -- the  
25 instruction was facially valid, but that this particular

1 jury, just as the dissent in the other case predicted, did  
2 in fact misunderstand it in precisely the way the dissent  
3 predicted it. Isn't that correct?

4 MR. ANDERSON: Well, I'm --

5 QUESTION: That's why they asked the question.

6 MR. ANDERSON: No, I don't -- I don't agree that  
7 that's why they -- they asked the question.

8 QUESTION: Well, the question certainly would be  
9 the question that one reading the dissent would expect a  
10 jury to ask --

11 MR. ANDERSON: Well, it's -- it's --

12 QUESTION: -- if one thought the dissent was  
13 right, which I happen to, of course.

14 (Laughter.)

15 MR. ANDERSON: And it was a very eloquent  
16 dissent, Your Honor.

17 (Laughter.)

18 QUESTION: I didn't -- I didn't write it.

19 But it does raise the question that a jury might  
20 so interpret the instruction, and it appears from this  
21 record the jury did so interpret the instruction.

22 MR. ANDERSON: Well, let's go to the dissent in  
23 Buchanan. It was -- it was a 6-3 vote, and it's very  
24 interesting because the dissenting opinion repeatedly, or  
25 at least several times, talked in terms -- it didn't say

1 the instruction was just flat-out wrong or  
2 constitutionally deficient. It said it was overly  
3 ambiguous. But it said several times in the course of  
4 that dissent that if there had been an instruction on  
5 mitigation, that would have handled the matter. That  
6 would have made it clear to the jury.

7 We have a mitigation instruction here -- and  
8 this is one of the two differences between this case and  
9 Buchanan which otherwise, for purposes of the present  
10 case, is -- is so similar in terms of procedural  
11 incidents. But we have in -- in Buchanan -- excuse me --  
12 in this case in distinct contrast to Buchanan, which was  
13 one of the primary complaints there, an instruction on  
14 mitigation that went well beyond what is even the model  
15 instruction in Virginia today on mitigation.

16 It said -- and this is at 195 of the appendix,  
17 and it goes on in the first paragraph to define mitigation  
18 evidence generally. It says in the final sentence of that  
19 paragraph, the law requires your consideration of more  
20 than the bare facts of the crime. And considering in this  
21 case that the only factor, aggravating factor, found by  
22 the jury was vileness, that's another way of saying, you  
23 have to consider more than the -- the vileness of the  
24 murder.

25 Then the second --

1 QUESTION: Mr. Anderson --

2 QUESTION: Do you think that's an equivalent of

3 saying that even though you find the aggravating

4 circumstances, you may nevertheless impose a life

5 sentence? Do you think that sentence does that job?

6 MR. ANDERSON: Well, I think we have to look at

7 the -- at the rest of it. The --

8 QUESTION: Well, what other sentence in -- on

9 195 conveys the message that the jury sought in this case?

10 MR. ANDERSON: The -- the final paragraph, the

11 first sentence says, you must consider a mitigating

12 circumstance if you find there is evidence to support it.

13 Now, the argument here, Your Honor -- it's very

14 important to bear in mind. The argument consistently and

15 exclusively has been that the answer -- there's never been

16 any claim, and there couldn't be, that this jury ever

17 received any misinformation from -- from the trial judge,

18 from the commonwealth's attorney, from defense counsel on

19 such basic principles as the fact that you have two

20 sentencing options in the sentencing phase, life and

21 death, that the death penalty under no circumstances is

22 mandatory, that the life sentence under a certain

23 circumstance is, and that under any circumstances, you

24 must consider the mitigating evidence.

25 But the argument has been that the answer didn't

1 go far -- not that the answer was wrong, but the answer  
2 was -- didn't go far enough and left open too much  
3 possibility that the jury would disregard the mitigating  
4 evidence. Period. Not that it might consider the  
5 mitigating evidence in some fashion, but that it -- but  
6 that it's consideration was too restrictive a la, say, for  
7 example, in -- in Penry. And those -- those are very  
8 different matters.

9 QUESTION: Mr. Anderson, may I back you up a  
10 bit? Because you said the instruction that was given at  
11 195 goes beyond what is the instruction today. The  
12 instruction today on mitigation is very clear. It says  
13 that even if the commonwealth had proved beyond reasonable  
14 doubt the existence of an aggravating circumstance, the  
15 jury must, nonetheless, consider the mitigating  
16 circumstances and weigh that against the aggravator,  
17 precisely what was lacking in this case. So, I can  
18 understand your argument when you say this instruction was  
19 enough, but for you to say that it went beyond what today  
20 would be told to a Virginia jury I think is quite wrong.

21 MR. ANDERSON: Well, Justice Ginsburg, we -- we  
22 have two different model instructions here, and I -- if I  
23 recall correctly, the one you're alluding to is the model  
24 instruction dealing -- the current version of what was  
25 instruction 2 in this case, which is if you find

1     aggravating evidence and then if you find mitigating  
2     evidence, et cetera. The model instruction I'm referring  
3     to is the Virginia model instruction on mitigation.

4             QUESTION: Yes. This is one is labeled Capital  
5     Murder Bifurcated Penalty Trial Mitigation. That's the  
6     one I just read to you. Then there's the other change in  
7     the capital murder, one aggravator instruction. So, there  
8     were two changes that were made.

9             MR. ANDERSON: Well, the model -- I have what I  
10    understand to be the current model jury instruction in  
11    Virginia on mitigation which simply says, if you find that  
12    the commonwealth has proved beyond a reasonable doubt the  
13    existence of an aggravating circumstance in determining  
14    the appropriate punishment, you should consider any  
15    evidence presented of circumstances which do not justify  
16    or excuse the offense, but which in fairness or mercy may  
17    extenuate or reduce the degree of moral culpability and  
18    punishment. That's the one I'm alluding to.

19            And the instruction here, which in the second  
20    paragraph detailed a number of examples of mitigation --

21            QUESTION: It didn't say anything about if you  
22    find one aggravator nonetheless. That's what was missing  
23    from the old instruction and is present in the new one.

24            MR. ANDERSON: Well, of course, the -- the old  
25    instruction six members of the Court -- and as Weeks

1 repeatedly conceded -- the old instruction --

2 QUESTION: Six members of the Court thought that  
3 what happened in this case wouldn't happen under this  
4 instruction, and they were wrong.

5 MR. ANDERSON: Well, I --

6 QUESTION: It did happen in this case. What  
7 they -- what was predicted in the dissent happened in this  
8 very case.

9 MR. ANDERSON: Well, but the -- the point is,  
10 Your Honor -- and it seems to me the underlying premise in  
11 -- in many respects of this appeal -- is that the asking  
12 of the question was some sort of extraordinary development  
13 that -- that basically rendered both before and after  
14 everything in this case essentially meaningless. And it  
15 changed the case for good.

16 But we cited many cases --

17 QUESTION: -- every instruction that a jury asks  
18 a question about has to be a flawed instruction?

19 MR. ANDERSON: No. No. No, sir.

20 QUESTION: But do you concede that -- back away  
21 from this case -- not this case. Is it possible that a  
22 perfectly valid instruction could be given in a criminal  
23 case and a jury could inquire of a judge and indicate such  
24 confusion that some clarification might be required?

25 MR. ANDERSON: Yes, Justice O'Connor. Suppose,

1 for example, the jury either in an initial question or,  
2 say, a follow-up question -- of course, it's highly  
3 revealing that there was no follow-up question here. But  
4 suppose the jury had not merely asked the question in  
5 general terms -- and by the way, the question didn't say,  
6 we've reviewed instruction number 2 repeatedly and we now  
7 ask the following question. It did not advert to the  
8 instructions at all. It simply asked in general terms if  
9 we find an aggravating factor, basically where does that  
10 leave us? Do we go ahead and automatically impose the  
11 death penalty, or do we, on the other hand, consider all  
12 the evidence and -- and decide the punishment?

13 But if the jury had said, in -- in complete  
14 contrast to what in fact happened here, something to the  
15 effect of, we've looked at instruction number 2 repeatedly  
16 and we think we understand it. And as we -- as we -- our  
17 understanding is that if we find one of the aggravating  
18 factors, that's it. That's the end of our inquiry. And  
19 we just basically want to make sure that's right. I think  
20 clearly the judge would -- would be required to knock that  
21 down and say, no, that's not right.

22 And then as part of -- of doing that, he'd have  
23 every right to say something along the lines of go back to  
24 instruction number 2, beginning with the paragraph X, and  
25 that in fact properly explains and sets forth the



1 sentencing scheme.

2 But, I mean, if there was some pretty  
3 conspicuous or egregious misconception expressed in the  
4 jury's question, then that would be something a judge  
5 would have --

6 QUESTION: But why -- suppose it isn't that.  
7 Suppose, for example, a totally different case. There's a  
8 State law problem. You have a terrifically adequate,  
9 perfect, wonderful instruction, and it happens to use the  
10 word abscond. And the jury comes in and says, Judge, we  
11 know that most people would know what this means and,  
12 unfortunately, our English teacher in high school -- four  
13 of us had a terrible teacher. And we just haven't a clue  
14 what that means. Just please tell us what it means.

15 MR. ANDERSON: The word is abscond, Your Honor?

16 QUESTION: Yes. And the judge says, I'll tell  
17 you what you do: go read the instruction. Now, would  
18 that be reversible error in a Virginia court? It happens  
19 to be that abscond is the whole key to the case. Would it  
20 happen to be reversible error?

21 MR. ANDERSON: It would be a closer question.

22 QUESTION: All right. They might reverse that.  
23 Fine.

24 If that -- if in fact there's a judgment of the  
25 Constitution of the United States requires that the jury

1 have a meaning of what abscond is, would you say maybe  
2 there was a constitutional issue in that case? Nothing  
3 wrong with the instruction in general, just in this case  
4 because the jury has made it totally clear they haven't a  
5 clue what the key word means.

6 MR. ANDERSON: I -- I disagree with the premise,  
7 Your Honor, that the --

8 QUESTION: Well, I'm making it as a  
9 hypothetical. So, I haven't talked about this case yet.  
10 So, don't disagree with the premise.

11 (Laughter.)

12 QUESTION: In my case with abscond, would you  
13 say that it was reversible?

14 MR. ANDERSON: It's -- it's very hard to answer  
15 that in any kind of meaningful way without knowing the --  
16 the full context of the case.

17 QUESTION: Oh, I'll give you as much context as  
18 you'd like. The -- I make it up as I go along.

19 (Laughter.)

20 QUESTION: So -- so, you imagine the context.  
21 It happens the word is absolutely key to the case. There  
22 -- courts and the cases under the Constitution, one called  
23 Pocket I think, not Lockett, which happens to say that the  
24 word abscond is 100 percent must be clear in the jury's  
25 mind. The instruction is perfect. The jury just happens

1 to say, because of our English teacher, we haven't a clue  
2 what this word means.

3 Now, do you have to say something?

4 MR. ANDERSON: Well, surely one of the members  
5 of the jury would have had an English teacher that would  
6 have --

7 QUESTION: I mean, does the judge have more of  
8 an obligation to explain it than another juror?

9 MR. ANDERSON: I -- I think that the short  
10 answer is I -- if -- if the instruction has been upheld as  
11 adequate, I think the -- the judge, as a matter of  
12 constitutional law, would be perfectly within his rights  
13 to refer the jury back to the instruction and the -- and  
14 the answer -- the judge could -- could reasonably conclude  
15 that if the jury -- and you have 12 members in there.  
16 Perhaps you have two alternates as well -- that before  
17 they return the verdict, that they will come to some  
18 acceptable understanding of the word abscond.

19 QUESTION: Maybe -- maybe an instruction would  
20 be invalid if it used a term so technical that there was a  
21 possibility that nobody on the jury would know what it  
22 meant.

23 MR. ANDERSON: Well, that would -- that's an  
24 interesting --

25 QUESTION: Maybe that's why you have 12 jurors,

1 so that even if -- if some have had bad English teachers,  
2 the rest would be able to help them out as to what fairly  
3 standard words mean.

4 MR. ANDERSON: They'll fill in the briefs,  
5 Justice Scalia.

6 QUESTION: And if you use a word so  
7 hypertechnical, maybe the instruction would be bad if --  
8 if indeed it's likely nobody on the jury would know what  
9 it meant.

10 MR. ANDERSON: And -- and the comfort we can  
11 take from this case is that we know from Buchanan that  
12 that's not the situation we have here.

13 QUESTION: No, but you basically -- if I  
14 understand your answer to Justice Breyer's question, you  
15 basically reject the proposition that it's the obligation  
16 of the judge to explain the law to the jury in a way that  
17 the jury can understand. You -- you reject that  
18 proposition because you say even if it affirmatively  
19 appears that the judge has not done that, we'll leave it  
20 to the other jurors to -- to help their -- their lagging  
21 friends to figure out what it means. So, you basically  
22 reject the -- the proposition that the judge has the  
23 obligation.

24 MR. ANDERSON: No, Justice Souter. I -- I think  
25 we have obviously a continuum of questions and -- and

1 concerns they raise. What I'm --

2 QUESTION: Well -- let's go back to Justice  
3 Breyer's hypothetical. You -- you say, as I understand  
4 it, that when the jury makes it clear beyond peradventure  
5 that some of its members do not understand a word which is  
6 crucial to the instruction, it does not necessarily follow  
7 that the judge has got to explain that to the -- to the  
8 jurors who are having the difficulty.

9 MR. ANDERSON: I don't think that it invariably  
10 would require it under any and all circumstances.

11 QUESTION: Well, how -- what are the  
12 circumstances in which we decide we'll play roulette and  
13 -- and take a chance that a juror will return a verdict  
14 using a term that the juror does not understand?

15 MR. ANDERSON: I think we have to -- I've given  
16 an example where the jury in this setting says something  
17 that flatly evinces its misunderstanding of its obligation  
18 to consider the mitigating evidence. I would agree if  
19 you --

20 QUESTION: Well, I thought the test we had  
21 articulated was whether there is a reasonable likelihood  
22 that the jury misunderstood its ability to consider the  
23 mitigating evidence.

24 MR. ANDERSON: Yes, Justice O'Connor. That's  
25 clearly --

1 QUESTION: Do you agree with that as the test?

2 MR. ANDERSON: That's -- in this case clearly  
3 that is the test, and I think Weeks fails miserably.

4 QUESTION: Mr. -- I -- I don't know why you're  
5 not willing to grasp the bull by the horns and say that  
6 there is -- once -- once an instruction has been found  
7 clear, there is no obligation to clarify it any further.  
8 Indeed, I would think that the term that a jury most often  
9 doesn't understand is beyond a reasonable doubt, and I bet  
10 they come in with questions about that all the time. And  
11 as you know, that is a mine field and any judge would be  
12 out of his mind if he did anything except read back the  
13 State formulary instruction as to what beyond a reasonable  
14 doubt means, rather than ad lib a response to that  
15 difficult question.

16 MR. ANDERSON: Well, and in fact, Justice  
17 Scalia, I had hoped to be able to get to that at some  
18 point today. It seems to me by the logic of Weeks'  
19 argument -- and there can't be anything more fundamental  
20 in the criminal law than the concept of reasonable doubt  
21 and proof beyond a reasonable doubt. It seems to me by  
22 Weeks --

23 QUESTION: No, but there's a -- there's a vast  
24 difference between a general misunderstanding of a term  
25 like that and a question that was asked in this case. If

1 we believe that Lonnie Weeks, Jr. is guilty of at least  
2 one of the alternatives, then is it our duty to -- as a  
3 jury to issue the death penalty?

4 MR. ANDERSON: And I'm saying, Justice  
5 Stevens --

6 QUESTION: That's a yes or no question that  
7 doesn't require any ad libbing.

8 MR. ANDERSON: Well, but we're -- the fact --  
9 concededly the judge could have answered it yes or no.  
10 But that is not the controlling question here. The  
11 question --

12 QUESTION: Could he -- is there any possible  
13 answer that would have been clearer than either a yes or a  
14 no?

15 MR. ANDERSON: I don't know if there's one any  
16 clearer. But the -- the question here is whether or not  
17 the trial judge -- this is, after all, a Federal habeas  
18 case where we're considering in this collateral setting  
19 subject, among other things, to the Teague new rule  
20 doctrine in 2254(d) -- whether or not the judge was  
21 constitutionally required to give that answer or whether  
22 or not he was constitutionally --

23 QUESTION: Was constitutionally required to ad  
24 lib either yes or no.

25 MR. ANDERSON: I don't think he was -- well, if

1 -- if you want to refer to -- to the term ad lib, I do not  
2 think he was constitutionally required by a long shot to  
3 ad lib and give that answer. He was -- just as  
4 importantly, he was not constitutionally obligated or  
5 prohibited --

6 QUESTION: And it's perfectly satisfactory to  
7 refer the jury back to the very question in -- very  
8 sentence in the instructions that gave rise to the  
9 question. That's a -- that's an adequate answer in your  
10 judgment.

11 MR. ANDERSON: Yes, sir. Yes, sir.

12 QUESTION: May -- may I go back to your answer  
13 to Justice O'Connor's question in which you indicated the  
14 -- that the -- that your answer might be different, the  
15 result might be different, if the jurors had come back and  
16 -- and had, to a degree not present here, made it  
17 affirmatively clear that they just were not able to follow  
18 the -- the instruction. If they had said, look, we -- we  
19 just don't understand what you're trying to get at by this  
20 instruction, that there the judge might have had a further  
21 obligation.

22 MR. ANDERSON: No. I -- Justice Souter, if --  
23 the example I gave is where the jury flatly manifests some  
24 affirmative misunderstanding of the law rather than simply  
25 we're having a hard time understanding it.



1 QUESTION: Yes. Let's say the jury comes back  
2 and say, we -- we understand that once we find an  
3 aggravating circumstance, we've got to impose the death  
4 penalty. Period. Right? Have we got it right? In that  
5 circumstance -- I think that was your hypo before or  
6 something like that.

7 MR. ANDERSON: Something --

8 QUESTION: In that -- in that circumstance, you  
9 would say, well, yes, the judge has got to explain that.

10 MR. ANDERSON: Well, he certainly at a bare  
11 minimum constitutionally would have to say, no, that is  
12 not right. You need -- I want to refer you back to  
13 instruction number 2, beginning with the second paragraph.  
14 That will explain -- that will tell you, in fact, how the  
15 sentencing process works in Virginia. What you've just  
16 said is incorrect. If he said something along those  
17 lines, I think that's perfectly fine constitutionally.

18 QUESTION: What is the difference in principle  
19 between a jury coming back and indicating precisely the --  
20 -- the erroneous conclusion they're drawing from the  
21 instruction on the one hand and the juror coming back  
22 saying, in effect, we don't know what to infer from the  
23 instruction. We don't know whether the answer to our  
24 question is yes or whether the answer to our question is  
25 no. Why should there be a distinction in principle

1 between those two situations?

2 MR. ANDERSON: Because I -- I would say that  
3 there -- we're looking at what the judge did and, among  
4 other things, we're having to determine whether or not  
5 it's even a constitutional matter to begin with. And by  
6 the way --

7 QUESTION: No. Stick to my question for a  
8 minute. Why should there be a distinction in principle  
9 between the jurors who manifest -- and -- and  
10 affirmatively manifest an erroneous reading of the  
11 instruction and the situation in which the jurors clearly  
12 manifest that they don't understand the instruction?

13 MR. ANDERSON: Because I -- I think it goes to  
14 just how much realistically there is a danger that the  
15 jury will, in fact, misapply the instruction. And I might  
16 point out that --

17 QUESTION: You're saying in the first place the  
18 -- the odds are up at about 99 percent that they're going  
19 to misapply it, and in the second case, we don't have a  
20 clue what they're going to do. We can't tell you what the  
21 odds are.

22 MR. ANDERSON: No. That is -- well, it may be  
23 as to --

24 QUESTION: Isn't that the difference between the  
25 two situations? If the jurors say, we don't know what the

1 thing means. You know, they might jump this way. They  
2 might jump that way. We don't know. So -- so, we can't  
3 give you any odds in the second situation. In the first  
4 situation, we know darned well what they're going to do if  
5 the judge doesn't head them off.

6 MR. ANDERSON: Well --

7 QUESTION: That -- that's the difference, isn't  
8 it?

9 MR. ANDERSON: Two -- two things, Justice  
10 Souter. First on the --

11 QUESTION: Well, but just yes or no. Isn't that  
12 the difference between the two situations?

13 MR. ANDERSON: No.

14 QUESTION: All right. What is the difference?

15 MR. ANDERSON: The difference is, in terms of  
16 applying the Boyde test, we cannot just freeze in time the  
17 question and answer, which is what Weeks wishes to do in  
18 this case. Everything --

19 QUESTION: Well, you're -- you're not answering  
20 my hypo.

21 QUESTION: Let him explain.

22 QUESTION: No, but I -- I think he should answer  
23 my hypo.

24 MR. ANDERSON: I think that there is a  
25 fundamental difference, as the Ninth Circuit recognized in

1 a later case, Barrigan-Devis, that -- that limited the  
2 McDowell case that counsel cited today. There is a  
3 fundamental difference in terms of what the -- the judge's  
4 duty and obligation in responding to the jury's question  
5 between a jury -- a question that simply says how does it  
6 -- how does it work versus we think we know how it works  
7 and then they say something that is wrong.

8 QUESTION: But under Boyde, why should that be  
9 so?

10 MR. ANDERSON: Well, under Boyde, the test is  
11 whether or not the jury has applied -- that is the phrase  
12 -- whether the jury -- excuse me -- whether a reasonable  
13 likelihood exists that the jury has applied the allegedly  
14 ambiguous instruction in a constitutionally impermissible  
15 fashion.

16 And Boyde also talked in terms of -- of the  
17 common sense proposition about everything that has taken  
18 place in the trial. It seems to me you cannot just fix on  
19 the question and answer and say that that is controlling  
20 above everything else, both before and after.

21 One of the ironies of this case is that but for  
22 the question that was asked -- and that is the linchpin of  
23 this appeal in the first place -- but for that, we would  
24 not know certain things that are highly probative under  
25 the Boyde reasonable probability test. We know, for

1 example, because the question was asked, that there were  
2 no follow-up questions, even though the jury in voir dire  
3 had basically said, if we do not fully understand an  
4 instruction, we promise to seek any necessary  
5 clarification. So, for 2 and a half -- they had no  
6 follow-up questions.

7 We also know that the jury deliberated for  
8 almost 2 and a half additional hours. It seems to me by  
9 the logic of Weeks' argument, that the deliberations  
10 should have essentially come to a screeching halt, that  
11 the jury, once it heard this answer, they come back, much  
12 like in Bollenbach, 5 or 10 minutes later and say, Your  
13 Honor, we're back. We sentenced him to death. If you'll  
14 just tell us where we can pick up our things and we'll go  
15 home. Nothing remotely happened like that. And it seems  
16 to me the very fact --

17 QUESTION: May I -- may I ask a question just on  
18 the background facts of this case? At 196 of the joint  
19 appendix, volume II, you give us two of the verdict forms  
20 that I assume were submitted to the jury. The one at the  
21 top of 196 indicates that there would be a death penalty  
22 because the jury unanimously found that there would be  
23 future dangerousness. And the second one has future  
24 dangerousness and vileness. Was there a third one for  
25 just vileness?

1 MR. ANDERSON: Yes, and -- yes, Justice Kennedy.  
2 If you look at page 228 of the appendix, that is the one  
3 -- and I think this is hugely significant. That is in  
4 fact the verdict form that the jury found. There were  
5 five verdict forms in this case, and the verdict form  
6 seems to me to -- to make it crystal clear that the jury  
7 considered and gave effect to the mitigating evidence  
8 because the --

9 QUESTION: I was --

10 MR. ANDERSON: I'm sorry.

11 QUESTION: It seemed to me that it's -- one way  
12 to read what the jury said is -- is in effect this.  
13 Judge, if we have found that this was a vile crime and we  
14 are -- have voted to what they call issue the death  
15 penalty in their term -- to issue the death penalty on  
16 that, do we have to go and talk about future  
17 dangerousness? It seems to me that's a plausible way to  
18 read their -- their concern. And the answer it seemed to  
19 me doesn't make much difference if -- if they've -- if  
20 they've agreed on the death penalty.

21 MR. ANDERSON: But the -- the problem with that  
22 is that the question on its face did not -- it did not  
23 advert to either aggravating factor -- to -- to construe  
24 or equate the jury's question --

25 QUESTION: Well, I -- I thought the question was

1 -- was a little bit confusing. And I thought that that  
2 was at least one interpretation of the question. I don't  
3 think that necessarily hurts your case.

4 MR. ANDERSON: Well, it -- it seems to me,  
5 Justice Kennedy, that it would be just rank speculation or  
6 conjecture to say that at the time the jury asked the  
7 question that the jury had in its mind, well, we're  
8 inclined to find vileness here and if we find vileness,  
9 let's find out from the judge whether that's the end of  
10 the inquiry.

11 QUESTION: But it was page 228 that was the form  
12 that was submitted I take it.

13 MR. ANDERSON: Right.

14 QUESTION: That was returned by -- by the --

15 MR. ANDERSON: Yes, sir.

16 QUESTION: May I ask just one last -- one  
17 question before you light goes off? Would you agree that  
18 if the judge had responded, instead of saying see second  
19 paragraph, instruction 2, which begins if you find, if  
20 instead he had responded with the reference to the second  
21 clause, if you believe from all the evidence, that the  
22 answer would have been clearer?

23 MR. ANDERSON: I'm sorry, Justice Stevens.  
24 Could you repeat the question?

25 QUESTION: See, when he -- when the judge

1 responded to the question, he referred the jury to the  
2 entire paragraph, beginning if you find from the evidence.  
3 And I'm suggesting that the response would have been  
4 clearer if he had said -- referred them to the second  
5 clause in the paragraph, or if you believe from all the  
6 evidence, that that would have been more directly  
7 responsive to the jurors' question. Do you think that's  
8 correct?

9 MR. ANDERSON: It -- it might have been --

10 QUESTION: It might have been.

11 MR. ANDERSON: -- marginally clearer, but I  
12 think the constitutional --

13 QUESTION: But that is -- it is the second half  
14 on which you rely as the clarity of the answer, isn't it?

15 MR. ANDERSON: Well, the second half in  
16 particular. But you take the paragraph as you find it, as  
17 this Court did in Buchanan.

18 QUESTION: But the first part of the paragraph  
19 is not responsive to the question, and the second half is.

20 MR. ANDERSON: Well, the Court dealt with the  
21 overall instruction and said the paragraph itself created  
22 a simple decisional tree, which again in the cert petition  
23 Weeks affirmatively tracked that language --

24 QUESTION: If he said the second clause, I'm not  
25 sure that the -- the --



1 QUESTION: If he used the same words --

2 QUESTION: -- the fictional high school teacher  
3 taught them what a clause is either. I'm not sure.

4 (Laughter.)

5 QUESTION: I'm not sure I would have been -- I  
6 would have been --

7 QUESTION: Leaving the teacher out of it, if --  
8 if he referred just to that one sentence, then it's  
9 rather hard to see the decisional tree that was necessary  
10 to do the clarification because a key part of that  
11 decisional tree comes in the -- in the later sentence to  
12 which he did not refer. Am I right about that?

13 MR. ANDERSON: Are we -- are you referring, Your  
14 Honor, to the --

15 QUESTION: I don't want to go on at length. I'm  
16 looking in the blue brief and it looks as if to me on page  
17 14 there are two separate paragraph -- forget it. Forget  
18 it. That's okay.

19 CHIEF JUSTICE REHNQUIST: Thank you, Mr.  
20 Anderson.

21 The case is submitted.

22 MR. ANDERSON: Thank you, Your Honor.

23 (Whereupon, at 11:04 a.m., the case in the  
24 above-entitled matter was submitted.)

25

## CERTIFICATION

Alderson Reporting Company, Inc., hereby certifies that the attached pages represents an accurate transcription of electronic sound recording of the oral argument before the Supreme Court of The United States in the Matter of:

LONNIE WEEKS, JR., Petitioner v. RONALD J. ANGELONE, DIRECTOR, VIRGINIA DEPARTMENT OF CORRECTIONS.

CASE NO:      99-5746

and that these attached pages constitutes the original transcript of the proceedings for the records of the court.

BY: *Lona M. May*  
(REPORTER)